

References

1. T. Jech - *Set theory*, Academic Press 1978: Ch. II. 10-14
2. F. Drake - *Set theory*, North Holland 1974: Ch. V

Definable sets

Definition. *The set X is a definable subset of A if there exist a formula $\phi(x, x_1, \dots, x_n)$ and elements $a_1, \dots, a_n \in A$ s.t.*

$$X = \{a \in A \mid A \models \phi[a, a_1, \dots, a_n]\}.$$

Lemma 1.

1. $A \in Def(A)$ and $\mathcal{P}_\omega(A) \subseteq Def(A)$;
2. A transitive implies $Def(A)$ transitive.

Proof. 1. $A = \{a \in A \mid a = a\}$, so the formula $x = x$ defines A , and $x = a_1 \vee \dots \vee x = a_n$ defines the finite subset $\{a_1, \dots, a_n\} \subseteq A$.

2. Let $b \in B = \{b \in A \mid A \models \phi[b, \bar{a}]\} \in Def(A)$: if A is transitive, then $b \subseteq A$, so $b = \{a \in A \mid a \in b\}$, with parameter b in A . \square

The constructible hierarchy L_α

$L_0 = \emptyset$, $L_{\alpha+1} = Def(L_\alpha)$, $L_\lambda = \bigcup\{L_\alpha \mid \alpha < \lambda\}$ for limit λ .

By induction on α one proves

Lemma 2. 1. L_α is transitive, and $\alpha < \beta \implies L_\alpha \in L_\beta$;

2. $\mathcal{P}_\omega(A) \subseteq Def(A) \subseteq \mathcal{P}(A) \implies \forall \alpha (L_\alpha \subseteq V_\alpha)$ and $\forall n (L_n = V_n)$;

3. $|L_\alpha| = |\alpha|$ for $\alpha \geq \omega$;

4. $\alpha = \{a \in L_\alpha \mid L_\alpha \models a \text{ is an ordinal}\} \in Def(L_\alpha) = L_{\alpha+1}$;

5. for $x \in L$, $\delta(x) = \min\{\alpha \mid x \in L_\alpha\} > \rho(x)$ is a successor;

6. $x \in y \in L \implies \delta(x) < \delta(y)$. □

Lemma 3. (covering lemma) If $x \subseteq L$, then $\exists \alpha (x \subseteq L_\alpha)$.

Proof. Put $\alpha = \sup\{\delta(y) \mid y \in x\}$: then $x \subseteq L_\alpha$. □

ZF \vdash “ $L \models \text{ZF} + V = L$ ”

Proof. L is a transitive class containing all ordinals, so

- $L \models \text{Ext}, \text{Fond}, \text{Inf}$;
- $L \models \text{Pair}$: for $a, b \in L_\alpha$, $\{a, b\} = \{x \in L_\alpha \mid L_\alpha \models x = a \vee x = b\}$;
- $L \models \text{Un}$: for $a \in L_\alpha$, $\cup a = \{x \in L_\alpha \mid L_\alpha \models \exists y \in a (x \in y)\}$;
- $L \models \text{Pow}, \text{Repl}$: if f is a function and $a \in L_\alpha$, some L_β includes both $\mathcal{P}(a) \cap L$ and $f[a] \cap L$, so they can be obtained by Sep.
- $L \models \text{Sep}$: given a formula ϕ and $a \in L_\alpha$, pick $\beta > \alpha$ s.t.

V_β reflects the formulae $x \in L_\gamma$, $x \in L \equiv \exists \delta (x \in L_\delta)$, ϕ^L . Then

1. $\forall x \in V_\beta \forall \gamma < \beta (x \in L_\gamma \Leftrightarrow x \in L_\gamma^{V_\beta})$,
2. $\forall x \in V_\beta (x \in L \Leftrightarrow \exists \delta < \beta (x \in L_\delta^{V_\beta}))$,
3. $\forall x \in V_\beta (\phi^L(x) \Leftrightarrow (\phi^L)^{V_\beta}(x) \Leftrightarrow \phi^{L \cap V_\beta}(x))$.

By 1-2, $\forall x \in V_\beta (x \in L \Leftrightarrow \exists \delta < \beta (x \in L_\delta))$, but $a \in L_\beta = L \cap V_\beta$, and point 3 gives $\{x \in a \mid \phi^L(x)\} = \{x \in L_\beta \mid \phi^{L_\beta}(x) \wedge x \in a\} = \{x \in L_\beta \mid L_\beta \models \phi(x) \wedge x \in a\} \in \text{Def}(L_\beta) = L_{\beta+1}$.

- $L \models V = L$: L_α is absolute between transitive models of ZF, being Δ_1^{ZF} , hence $L_\alpha^L = L_\alpha$, and $L^L = L$. □

universal wellordering and choice

Define inductively a wellorder \prec_α on L_α by

$x \prec_{\alpha+1} y$ if

- $x \in L_\alpha$ and $y \in L_{\alpha+1} \setminus L_\alpha$, or $x, y \in L_\alpha$ and $x \prec_\alpha y$, or
- both $x, y \in L_{\alpha+1} \setminus L_\alpha$, and
 - the first formula (in the ordering of V_ω) that defines x on L_α precedes the first one that defines y , or
 - the first formula is the same, and the first tuple of parameters (in the lexicographic ordering of L_α^n) that defines x precedes the first one that defines y .
- $\alpha < \beta \implies L_\alpha$ initial segment of L_β , so $\prec_L = \cup \{ \prec_\alpha \mid \alpha \in Ord \}$ is a definable wellordering of the class L .
- The definition of \prec_α is Δ_1^{ZF} , so $x <_L y \equiv \exists \alpha (x \prec_\alpha y)$ is Σ_1^{ZF} , but if $V = L$ then $x <_L y \Leftrightarrow \forall \alpha (x \prec_\alpha y)$, too, hence \prec_L is $\Delta_1^{ZF+V=L}$

Relative consistency of AC and GCH

Lemma 4. $\forall \kappa \geq \omega (L \cap H(\kappa) = L_\kappa)$.

Proof. $x \in L$ is Σ_1^{ZF} , so if $\kappa > \omega$ Levy's theorem gives

$$\exists \alpha (x \in L_\alpha) \iff \exists \alpha \in H(\kappa) (x \in L_\alpha).$$

While for $\kappa = \omega$ one has $H(\omega) = V_\omega = L_\omega$. □

Lemma 5. $\forall \kappa \geq \omega (L \cap \mathcal{P}(\kappa) \subseteq L_{\kappa^+})$.

Proof. $\mathcal{P}(\kappa) \subseteq H(\kappa^+)$, hence $L \cap \mathcal{P}(\kappa) \subseteq L \cap H(\kappa^+) = L_{\kappa^+}$. □

Theorem 1. $V=L \implies GCH$, hence $L \models GCH$.

Proof. If L is the whole universe, then all cardinals are preserved, and $\kappa < 2^\kappa \leq |L_{\kappa^+}| = \kappa^+$. □