

Matrix polynomials es.  $\begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} + \begin{bmatrix} 1 & 1 \\ 1 & 1 \end{bmatrix}x + \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix}x^2 = \begin{bmatrix} 1+x+x^2 & x \\ x & 1+x \end{bmatrix}$

A matrix polynomial is  $A(x) = A_0 + A_1x + A_2x^2 + \dots + A_dx^d$ .

We assume for now  $A_i \in \mathbb{C}^{m \times m}$ .

↑  
può essere 0

$d$  "not exactly" degree: we admit zero leading coefficients.

**Eigenvalues/vectors** are pairs such that  $A(\lambda)v = 0$ .

If the polynomial is **regular** ( $\det A(x)$  is not identically zero), then there is at most  $dm$  of them. They can be at  $\infty$ , like for pencils.

↖ degree / grade  
" " " "  
 $\max \{i: A_i \neq 0\}$  "  $d$ .

$\det A(x)$  ha grado al più  $dm$

$\Rightarrow$  al più  $dm$  autovalori

(molt. algebrice: quante volte compaiono)

$$A(x) = \begin{bmatrix} 1+x+x^2 & x \\ x & 1+x \end{bmatrix} \quad \det A(x) = x^3 + \dots$$

1 autovalore a infinito

Remark: ci sono autoval. a infinito  $\Leftrightarrow$  Ad singolare

(l'unico modo di ottenere un termine di grado  $d_m$  nell'espansione è prendendo termini di Ad nell'espansione di Laplace  $\Rightarrow$  il coefficiente di  $x^{d_m}$  è proprio  $\det Ad$ )

## Reversal and infinite eigenvalues

**Reversal** of a matrix polynomial: same coefficients but in the opposite order:

$$\text{Rev}(A_0 + A_1x + A_2x^2 + A_3x^3) = A_3 + A_2x + A_1x^2 + A_0x^3.$$

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### Lemma

Let  $A(x)$  be a regular matrix polynomial. The eigenvalues of  $\text{Rev } A(x)$  are  $\frac{1}{\lambda_i}$ , where  $\lambda_i$  are the eigenvalues of  $A(x)$ . This includes also eigenvalues at  $\infty$ , with the convention that  $\frac{1}{0} = \infty$  and  $\frac{1}{\infty} = 0$ .

Proof: direct verification for  $\lambda \notin \{0, \infty\}$ . Homogenize  $\det A(x)$  to count eigvls at 0 and  $\infty$ .

Se  $\det(A_0 + A_1 \lambda + \dots + A_d \lambda^d) = 0$ , allora

$$\det\left(\lambda^d \left(A_0 \frac{1}{\lambda^d} + A_1 \frac{1}{\lambda^{d-1}} + A_2 \frac{1}{\lambda^{d-2}} + \dots + A_d\right)\right) = 0$$

$\det\left(\lambda^d \cdot (\text{Rev } A) \left(\frac{1}{\lambda}\right)\right) = 0 \Rightarrow$  se  $\lambda \neq 0$  è autoval. di  $A(x)$ , allora  $\frac{1}{\lambda}$  autoval. di  $\text{Rev } A(x)$

Funziona anche con  $\frac{1}{0} = \infty$ ,  $\frac{1}{\infty} = 0$

Omogeneizzando:

$$\det(A_0 y^d + A_1 x y^{d-1} + \dots + A_d x^d) = \text{poly. omogeneo di}$$

grado  $d+m$   
multiplicità di 0 come autoval. = # di termini del tipo  $y^{d+m}, y^{d+m-1}x, \dots, y^{d+m-k}x^k$  nulli

multiplicità di  $\infty$ : numero di termini  
 $x^{dm}, x^{dm-1}y, \dots, x^{dm-k}y^k$  che sono nulli

Se scambiate  $x, y$  questi concetti si scambiano

# The companion linearization

## Theorem

Let  $A(x) = A_0 + A_1x + A_2x^2 + \cdots + A_dx^d$  be a matrix polynomial. Then,  $\det A(x) = \det C(x)$ , where  $C(x)$  is the pencil (“Frobenius companion form”)

$$C(x) = \begin{bmatrix} A_dx + A_{d-1} & A_{d-2} & \cdots & +A_1 & +A_0 \\ I_m & -xI_m & & & \\ & I_m & -xI_m & & \\ & & \ddots & \ddots & \\ & & & I_m & -xI_m \end{bmatrix} \in \mathbb{C}[x]^{dm \times dm}.$$

We prove something stronger: there are  $E(x), F(x) \in \mathbb{C}(x)^{dm \times dm}$  with determinant 1 s.t.  $E(x)C(x)F(x) = \text{blkdiag}(A(x), I_{(d-1)m})$ .

**Proof** (sketch): make linear combinations of columns to eliminate the blocks  $-xI$ .

$$C(x) = \begin{bmatrix} A_{d-1} & A_{d-2} & \dots & A_0 \\ I & & & \\ & I & & \\ & & \ddots & \\ & & & I & 0 \end{bmatrix} + \begin{bmatrix} A_d & -I & & & \\ & -I & & & \\ & & -I & & \\ & & & \ddots & \\ & & & & -I \end{bmatrix} x$$

Dimostriamo che esistono  $E(x), F(x)$  con determinante 1 tali che

$$E(x)C(x)F(x) = \begin{bmatrix} A(x) & & & \\ & I_m & & \\ & & \ddots & \\ & & & I_m \end{bmatrix} \Bigg\}^{d-1}$$

$$\Rightarrow \det C(x) = \det A(x).$$

$$\begin{bmatrix} A_d x + A_{d-1} & A_{d-2} & \dots & A_1 & A_0 \\ I & -xI & & & \\ & I & -xI & & \\ & & \ddots & I & -xI \end{bmatrix} \cdot \begin{bmatrix} I \\ xI & I \\ & I & \ddots \\ & & & I \end{bmatrix} =$$

$$= \begin{bmatrix} A_d x + A_{d-1} & A_d x^2 + A_{d-1} x + A_{d-2} & A_{d-3} & \dots & A_0 \\ I & 0 & & & \\ & I & -xI & & \\ & & \ddots & I & -xI \end{bmatrix} \sim \begin{bmatrix} \vdots \\ xI \\ \vdots \end{bmatrix}$$

$$\sim \begin{bmatrix} A_d x + A_{d-1} & \dots & A_d x^3 + A_{d-1} x^2 + A_{d-2} x + A_{d-3} & \dots \\ I & 0 & 0 & \dots \\ & I & 0 & \dots \\ & & I & \dots \\ & & & \ddots & -xI \end{bmatrix} \sim$$

$$\begin{bmatrix} * & * & * & \dots & * & A(x) \\ I & & & & & \\ & I & & & & \\ & & I & & & \\ & & & \ddots & & \\ & & & & I & 0 \end{bmatrix}$$

d scambi  
 ↗ colonne  
 determinante  
 $(-1)^d$

$$\begin{bmatrix} A(x) & * & \dots & * \\ & I & & \\ & & \ddots & \\ & & & I \end{bmatrix}$$

con determinante  
 $\det A(x)$

(con operazioni su righe posso far sparire anche gli "\*").

## Other linearizations

Other pencils with the same property

$E(x)C(x)F(x) = \text{blkdiag}(A(x), I_{(d-1)m})$  can be constructed — they are called **linearizations**.

Some final projects available on methods to construct them.

$$\begin{bmatrix} \text{[scribble]} & \begin{matrix} x & & \\ & x & \\ & & x \end{matrix} \\ \begin{matrix} x \\ \vdots \\ x \end{matrix} & \text{O} \end{bmatrix} \cdot \begin{bmatrix} B_0 & B_1 & \dots & B_k \\ * & * & * & \\ & * & * & * \\ & & \vdots & \vdots \\ & & & * & * \end{bmatrix} \cdot \dots$$

$$\begin{bmatrix} \diagdown & & \\ & \diagdown & \\ & & \diagdown \end{bmatrix} + \begin{bmatrix} \diagdown & & \\ & \diagdown & \\ & & \diagdown \end{bmatrix}$$

## Eigenvector recovery

### Theorem

$v \neq 0$  is an eigenvector of  $A(x)$  (with  $\text{eigvl } \lambda \neq \infty$ ) iff

$$w(\lambda, v) = \begin{bmatrix} \lambda^{d-1} v \\ \lambda^{d-2} v \\ \vdots \\ v \end{bmatrix}$$

is an eigenvector of  $C(x)$ .

**Proof:** direct verification. Start calling  $v$  the last block of  $w \dots$

Sia  $W = \begin{bmatrix} * \\ * \\ * \\ * \\ * \\ y \end{bmatrix}$  un autovettore di  $C(x)$

$$\begin{bmatrix} A_d \lambda + A_{d+1} & \dots & A_0 \\ I & -\lambda & \\ & \ddots & \\ & I & -\lambda \\ & & I & -\lambda \end{bmatrix} \begin{bmatrix} \lambda^2 y \\ \lambda y \\ y \end{bmatrix} = 0$$

dev'essere  $\lambda y$   
perché l'ultima  
riga del prodotto  
faccia 0

dev'essere  $\lambda^2 y$  perché  
la penultima  
riga faccia 0

... arrivo a dire che

$$\begin{bmatrix} A_d \lambda + A_{d-1} & A_{d-2} & \dots & A_1, A_0 \\ 1 & -\lambda & & \\ & & \ddots & \\ & & & 1 & -\lambda \end{bmatrix} \begin{bmatrix} \lambda^{d-1} y \\ \vdots \\ \lambda^2 y \\ \lambda y \\ y \end{bmatrix} = 0$$

La prima riga del prodotto mi dice che

$$A(\lambda)y = 0 \Rightarrow y \text{ autovettore di } A$$

( $y$  non può essere 0, altrimenti  $w=0$ )

(l'altra freccia è solo una verifica)

## Eigenvectors are not independent

**Small surprise:** the eigenvectors of  $A(x)$  are (usually) not linearly independent.

(How could they be? There are too many of them...)

However,  $w(\lambda_i, v_i)$  are linearly independent.

Un polinomio  $n \times n$  ha  $n$  autovettori in  $\mathbb{C}^n$   
Sono troppi...

Ex

$$A(x) = \begin{bmatrix} (x-1)(x-2) & 0 \\ 0 & (x-3)(x-4) \end{bmatrix}$$

autoval/vett:

$$\lambda=1, \begin{bmatrix} 1 \\ 0 \end{bmatrix} \quad \lambda=2, \begin{bmatrix} 1 \\ 0 \end{bmatrix} \quad \lambda=3, \begin{bmatrix} 0 \\ 1 \end{bmatrix} \quad \lambda=4, \begin{bmatrix} 0 \\ 1 \end{bmatrix}.$$

Però sono lin. indipendenti

$$\begin{bmatrix} 1 \\ 0 \\ 1 \\ 0 \end{bmatrix}, \begin{bmatrix} 2 \\ 0 \\ 1 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 3 \\ 0 \\ 1 \end{bmatrix}, \begin{bmatrix} 0 \\ 4 \\ 0 \\ 1 \end{bmatrix}$$

(perché sono gli autovettori di  $C(x)$ )

## Application

What do we use linearization / eigenvalues of matrix polynomials for?

Linear differential equations: (assume  $\det(A_2) \neq 0$ )

$$\underline{A_2 \ddot{x} + A_1 \dot{x} + A_0 x = 0}, \quad x : [t_0, t_f] \rightarrow \mathbb{R}^n. \quad (\text{ode})$$

$x = x(t)$

Special solutions:  $\underline{e^{\lambda t} v}$ , where  $(\lambda, v)$  eigenpair of the matrix polynomial.

General solution: via linearization of  $A_2 x^2 + A_1 x + A_0$  (matrix exponential of  $-C_1^{-1} C_0$ ).

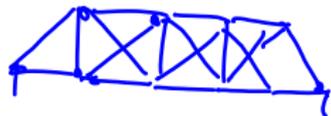
(Stable solutions: invariant subspace formed by eigenvalues with  $\text{Re } \lambda < 0$ .)

What about polynomials with eigenvalues at  $\infty$  / singularities?

More involved — differential-algebraic equations. Example

$$\begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix} \begin{bmatrix} \dot{x} \\ \dot{y} \end{bmatrix} = \begin{bmatrix} x \\ y \end{bmatrix} + \begin{bmatrix} f_1 \\ f_2 \end{bmatrix}.$$

$$A_2 \ddot{y} + A_1 \dot{y} + A_0 y = 0 \quad (*)$$



$$\underline{A_1 \dot{y} + A_0 y = 0}$$

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$$A(x) = A_2 x^2 + A_1 x + A_0$$

Autoval / rett  $\Leftrightarrow$  soluzioni particolari

$$A(\lambda)v = 0 \Leftrightarrow y(t) = e^{\lambda t}v \text{ soluzione di } (*)$$

Ad es., se ho 2m autoval. distinti con  $\text{Re } \lambda < 0$ ,  
le soluzioni sono comb. lineari di queste sol.  
particolari, e vanno tutte a zero per  $t \rightarrow \infty$ ,  
quella che va a zero più piano ha  $\text{Re}(\lambda)$  massimo...

Se  $\det A_2 \neq 0$ , posso trovare una sol. generale linearizzando: definisco  $z := \dot{y}$ , pongo

$$\begin{bmatrix} -A_2 & 0 \\ 0 & I \end{bmatrix} \begin{bmatrix} \dot{z} \\ \dot{y} \end{bmatrix} = \begin{bmatrix} A_1 & A_0 \\ I & 0 \end{bmatrix} \begin{bmatrix} z \\ y \end{bmatrix}$$



Frobenius companion linearization

$$\begin{bmatrix} \dot{z} \\ \dot{y} \end{bmatrix} = \begin{bmatrix} -A_2 & 0 \\ 0 & I \end{bmatrix}^{-1} \begin{bmatrix} A_1 & A_0 \\ I & 0 \end{bmatrix} \begin{bmatrix} z \\ y \end{bmatrix}$$

$$\begin{bmatrix} z(t) \\ y(t) \end{bmatrix} = \expm \left( t \cdot \begin{bmatrix} -A_2 & 0 \\ 0 & I \end{bmatrix}^{-1} \begin{bmatrix} A_1 & A_0 \\ I & 0 \end{bmatrix} \right) \begin{bmatrix} z_0 \\ y_0 \end{bmatrix}.$$

Esempi in cui il coeff. di teste è singolare:  
Supponiamo di avere già un'equazione del  
primo ordine (via linearizzazione)

$$* C_1 \dot{u} + C_0 u = 0$$

Posso mettere  $C_1 x + C_0$  in forma di Kronecker:  
 $E(C_1 x + C_0) F = \text{blkdiag}(\dots)$

Produce un sistema di equazioni equivalente

$$E C_1 F \dot{v} + E C_0 F v = 0$$

$$v(t) := F^{-1} u(t)$$

blkdiag  $\rightarrow$  equazioni separate

$$\begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix} \begin{bmatrix} \dot{v}_1 \\ \dot{v}_2 \end{bmatrix} + \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} v_1 \\ v_2 \end{bmatrix} = 0 \quad \rightarrow$$

$$\begin{cases} \dot{v}_2 + v_1 = 0 \\ 0 + v_2 = 0 \end{cases}$$

Il problema ai valori iniziali  
collegato a quest'equazione

ha soluzione solo se  $v_2(0) = 0$  e  $v_1(0) = 0$   
La soluzione è  $v_2(t) \equiv 0$        $v_1(t) \equiv 0$

DAE. differential-algebraic equations

## Jordan chains [Gohberg-Lancaster-Rodman book, Sec. 1.4]

One can define Jordan chains of a matrix polynomial using derivatives:

$$\begin{aligned} & \rightarrow A(\lambda)v_0 = 0, & (A + \lambda B)v_1 + \underline{B}v_0 = 0 \\ & A'(\lambda)v_0 + A(\lambda)v_1 = 0, \\ & \frac{1}{2}A''(\lambda)v_0 + A'(\lambda)v_1 + A(\lambda)v_2 = 0 \\ & \vdots \end{aligned}$$

With this definition,  $\boxed{v_0 e^{\lambda t}}$ ,  $\boxed{(v_0 t + v_1) e^{\lambda t}}$ ,  $\underline{(v_0 t^2 + v_1 t + v_2) e^{\lambda t}}$ , ... are special solutions of (ode).

How to define Jordan chains at  $\infty$ ? As Jordan chains at zero of  $\text{Rev } A(x)$ . Def.: Diciamo che  $A(x)$  ha una catena di Jordan  $v_0, v_1, \dots, v_k$  a  $\lambda = \infty$  se  $\text{rev } A(x)$  ha una catena di Jordan  $v_0, v_1, \dots, v_k$  a  $\lambda = 0$ .

$$P(x) = P(\lambda) + P'(\lambda) \underbrace{(x-\lambda)} + \frac{1}{2} P''(\lambda) \underbrace{(x-\lambda)^2} + \dots$$

$$v(x) = v_0 + v_1 \underbrace{(x-\lambda)} + v_2 (x-\lambda)^2 + \dots$$

$$P(x)v(x) = P(\lambda)v_0 + (P'(\lambda)v_0 + P(\lambda)v_1)(x-\lambda) + \left( \frac{1}{2} P''(\lambda)v_0 + P'(\lambda)v_1 + P(\lambda)v_2 \right) (x-\lambda)^2 + \dots$$

Catena di Jordan lunga  $k \Leftrightarrow$  esiste  $v(x)$  tale che  $P(x)v(x)$  ha i primi  $k$  termini dello sviluppo come polinomio in  $(x-\lambda)$  nulli

$$\Leftrightarrow \underbrace{P(x)v(x)} = (x-\lambda)^k \cdot w(x)$$

## The problem with linearizations and $\lambda = \infty$

The relation  $C(x) \sim D(x)$  iff  $C(x) = E(x)D(x)F(x)$  preserves the sizes of Jordan chains at  $\lambda \in \mathbb{C}$  (why?), but not of those at infinity. This can be seen already for degree 1: the pencils

$$D(x) = I + 0x = \begin{bmatrix} 1 & \\ & 1 \end{bmatrix}$$

and

$$C(x) = \begin{bmatrix} 1 & x \\ & 1 \end{bmatrix} = D(x)F(x) \quad \text{with } F(x) = \begin{bmatrix} 1 & x \\ & 1 \end{bmatrix}$$

have different Jordan structures at  $\infty$ .

$A(x) = A_0 + A_1 x$  Linearizzazione: pencil  $\begin{matrix} L(x) \\ \text{vale} \\ \text{de} \end{matrix}$

$$E(x)L(x)F(x) = \text{blkdiag}(A(x), I_{(d-1)n})$$

$$\begin{matrix} \uparrow & \uparrow \\ E(x)^{m \times m} & F(x)^{m \times m} \end{matrix}, \det E(x) = \det F(x) = \pm 1$$

$$A(x) = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} + \begin{bmatrix} 0 & 0 \\ 0 & 0 \end{bmatrix} x$$

$\det A(x) = 1$  no autovalore  $\infty$   
con m.e. 2

forma di Kronecker:  $\begin{bmatrix} \boxed{1} & 0 \\ 0 & \boxed{1} \end{bmatrix}$   $2 \times 2$  blocco di Jordan  $\infty$   
di dimensione 1

$$A(x)F(x) = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \cdot \begin{bmatrix} 1 & x \\ 0 & 1 \end{bmatrix} = \begin{bmatrix} 1 & x \\ 0 & 1 \end{bmatrix}$$

$\det = 1$  blocco di Jordan  $\infty$   
di dimensione 2

Invece, pre/post moltiplicare per matrici con  $\det = 1$   
non cambia le dimensioni dei blocchi di  $J$ . finiti  
(blocco di  $J$ . finito  $\Leftrightarrow$ )

$$P(\lambda) v_0 = 0$$

$$P(\lambda) v_1 + P'(\lambda) v_0 = 0$$

$$P(\lambda) v_2 + P'(\lambda) v_1 + \frac{1}{2} P''(\lambda) v_0 = 0$$

È queste relazioni restano valide (per nuovi  $v_i$ )  
anche se pre/post moltiplico per  $E(x), F(x)$ :

$$E(\lambda) P(\lambda) F(\lambda) v_0 = 0$$

$$E(\lambda) P(\lambda) F(\lambda) v_1 +$$

Teo: Le catene di Jordan di  $C(x) \in \mathbb{C}[x]^{n \times n}$   
 e quelle di  $B(x) = E(x)C(x)F(x)$  hanno la  
 stesse lunghezze, per ogni  $E(x) \in \mathbb{C}[x]^{m \times m}$ ,  $F(x) \in \mathbb{C}[x]^{n \times n}$  con  $\det E(x) = \det F(x) = 1$ .  
per  $\lambda \neq \infty$

dim:

Nota che  $F(x)^{-1}$  è un polinomio: difetti,

$$F(x)^{-1} = \frac{1}{\det F(x)} \cdot \text{Adj} F(x)^T \quad \text{Adj} F(x)_{ij} = \det \begin{pmatrix} F(x) & \text{senza la} \\ \text{riga } i & \text{e la colonna } j \end{pmatrix}$$

Se  $C(x)$  ha una catena di J. lunga  $k$ ,  $\cdot (f!)^{i+j}$

allora  $C(x)v(x) = (x-\lambda)^k w(x)$ ,  $w(x) \in \mathbb{C}[x]^n$   $w(\lambda) \neq 0$

$$E(x)C(x)F(x)F(x)^{-1}v(x) = (x-\lambda)^k E(x)w(x)$$

$$\Rightarrow B(x)S(x) = (x-\lambda)^k t(x)$$

↑ polinomi J con  $t(\lambda) \neq 0$

Se scrivo  $s(x) = s_0 + s_1(x-\lambda) + s_2(x-\lambda)^2 + \dots$ , allora  $s_0, s_1, \dots, s_{k-1}$  sono una catena di Jordan di  $B(x)$

$$s_0 = \underbrace{F(\lambda)^{-1}} v_0$$

$$s(x) = (\square + \square(x-\lambda) + \square(x-\lambda)^2 + \dots)(v_0 + v_1(x-\lambda) + v_2(x-\lambda)^2 + \dots)$$

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In part. per una linearizzazione

$$E(x)C(x)F(x) = \text{blkdiag}(A(x), I)$$

Le catene di Jordan di  $\text{blkdiag}(A(x), I)$  sono del tipo

$$\begin{bmatrix} v_0 \\ 0 \end{bmatrix}, \dots, \begin{bmatrix} v_k \\ 0 \end{bmatrix}$$

## Strong linearizations

$$L(x) = L_0 + L_1 x \quad \text{Rev} L(x) = L_1 + L_0 x$$

A linearization is said to be **strong** if  $\text{Rev} L(x)$  is also a linearization of  $\text{Rev} A(x)$ .

$\Leftrightarrow$  se esistono  $\hat{E}(x), \hat{F}(x)$  t.c.  $\hat{E}(x) \text{rev} L(x) \hat{F}(x) = \text{blockdiag}(\text{Rev} A(x), \overline{I})$

### Result

The companion pencil  $C(x)$  is a strong linearization.

Proof: again linear combinations of columns to 'fold up' the polynomial  $\text{Rev} A(x)$ .

$\rightarrow$  Le lunghezze dei blocchi di Jordan a 0 di  $\text{rev} A(x)$  sono le stesse di  $\text{rev} C(x) \Leftrightarrow$

Le lunghezze dei blocchi a  $\infty$  di  $A(x)$  sono le stesse di  $C(x)$

$$\left[ \begin{array}{cccc} A_d + xA_{d-1} & A_{d-2}x & A_{d-3}x & \dots & A_0x \\ \widetilde{xI} & -I & & & \\ & xI & & & \\ & & \dots & & \\ & & & xI & -I \end{array} \right] \sim$$

$$\left[ \begin{array}{cccc} \dots & A_2x & A_1x + A_0x^2 & A_0x \\ & \dots & \dots & \\ & & xI & -I \\ & & & 0 & -I \end{array} \right] \sim$$

$$\begin{bmatrix}
 \dots & A_2x + A_1x^2 + A_0x^3 & A_1x + A_0x^2 & A_0x & \vdots \\
 & \ddots & \ddots & \ddots & \vdots \\
 & \ddots & xI & -I & \vdots \\
 & & \ddots & -I & \vdots \\
 & & & 0 & -I \\
 & & & & -I \\
 & & & & & -I
 \end{bmatrix} \sim$$

$$\left[ \begin{array}{cccc} A_d + A_{d-1}x + A_{d-2}x^2 + \dots + A_0x^d & * & * & * & * \\ & 0 & -I & & \\ & & & -I & \\ & & & & \ddots \\ & & & & & -I \end{array} \right] \sim$$

(via comb. lineari di righe)

$$\sim \left[ \begin{array}{cccc} \text{Rev } A(x) & 0 & 0 & \dots & 0 \\ & -I & & & \\ & & -I & & \\ & & & \ddots & \\ & & & & -I \end{array} \right]$$

## Smith form [Gohberg–Lancaster–Rodman book, appendix S1]

Quick review of other invariants for matrix polynomials. . .

### Smith normal form

There are matrices  $E(x)$ ,  $F(x)$  with determinant 1 such that  $E(x)A(x)F(x) = \text{diag}(d_1(x), d_2(x), \dots, d_r(x), 0, 0, \dots, 0)$ , and  $d_i(x) \mid d_{i+1}(x)$  for all  $i$ .

Actually an algebra result — holds in every PID. (Proof idea: a sort of back-and-forth Gaussian elimination like the one used to compute inverses. Instead of division, use Bézout identities). The  $d_i$ s are uniquely defined (GCDs of all  $i \times i$  minors).

Reveals:

- ▶ Rank over  $\mathbb{C}(x)$ ;
- ▶ Eigenvalues (roots of  $d_r(x)$ );
- ▶ Sizes of Jordan chains (depend on how many  $d_i(\lambda)$  vanish).



Idea Lim: se fossimo su un campo,

$$\begin{pmatrix} * & * & * \\ * & * & * \\ * & * & * \end{pmatrix} \rightarrow \begin{pmatrix} * & * & * \\ 0 & * & * \\ 0 & 0 & * \end{pmatrix} \rightarrow \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

SU un anello:

$$\begin{pmatrix} a_{11}(x) & * & * \\ a_{21}(x) & * & * \\ a_{31}(x) & * & * \end{pmatrix} \rightarrow \begin{bmatrix} \text{mcd}(a_{11}, a_{21}, a_{31}) & * & * \\ 0 & * & * \\ 0 & * & * \end{bmatrix}$$



## Minimal indices [Forney, '72]

Quick review of other invariants for matrix polynomials. . .  
The generalization of sizes of singular Kronecker blocks are **minimal indices**.

Like in the degree-1 case,  $\ker_{\mathbb{C}(x)} A(x)$  and  $\ker_{\mathbb{C}(x)} A^T(x)$  admit polynomial bases with degrees “as small as possible” — these degrees are called **minimal indices**.

Linearizations do not always preserve minimal indices — but sometimes they change them predictably. For instance, for  $\underbrace{C(x)}$ , right minimal indices are preserved, left minimal indices are increased by  $d - 1$ .